

IFW  
\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of:  
Arnold et al

For:  
DUAL AND HYBRID EGR SYSTEMS  
FOR USE WITH TURBOCHARGED  
ENGINES

Serial No.: 10/714,426

Filed: 11/13/03

) Docket No: H0004764  
)  
)

) Group Art Unit : 3747  
)  
)  
)  
)  
)

) Examiner: WILLIS WOLFE  
)  
)

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Commissioner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the documents listed below and identified on the attached form PTO 1449 for review by the Examiner during the examination of the above referenced application. Copies of the documents are provided for the Examiner's use. Translations of the foreign documents are not available to the applicant and the translated abstract is provided for the Examiner's use.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8  
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addresses to Mail Stop IDS Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313, 1450 on 11/23/04  
(Date of Deposit)

by Faisal Adnan 11/23/04  
(Date)

12/01/2004 HMARZ11 00000065 011125 10714426  
01 PC:1806 180.00 DA

This Information Disclosure Statement is being submitted in accordance with 37 C.F.R. § 1.97(c) and is accompanied with authorization to charge the appropriate fee under 37 CFR §1.17(p).

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 01-1125 as set forth in 37 CFR §1.17(p).

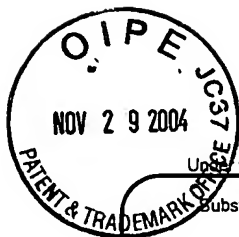
Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of the Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John Christopher James", written over a horizontal line.

John Christopher James  
Reg No. 40,660  
Law Department

Date: November 23, 2004  
Honeywell International, Inc.  
Attorney for Applicants  
23326 Hawthorne Boulevard  
Suite 200  
Torrance, CA 90505  
Telephone No.: (310) 791-9120  
Fax: (310) 791-9125



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/714,426
Filing Date	11/13/03
First Named Inventor	Arnold et al
Art Unit	3747
Examiner Name	Wolfe
Attorney Docket Number	H0004764

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 4215550	8/15/1980	Dinger et al	
		US- 5203311	4/20/1993	Hitomi et al	
		US- 5806308	9/15/1998	Khlar et al	
		US- 5927075	7/27/1999	Khair et al	
		US- 6301888	10/16/2001	Gray, Jr	
		US- 6360541	3/26/2002	Waszkiewicz et al	
		US- 6742506	6/1/2004	Grandin	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		2001-079266 JP (Abstract)	9/25/02	Isuzu Motors		

Examiner Signature	Date Considered
-----------------------	--------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.